



3782

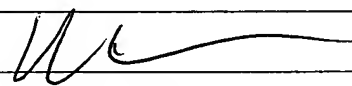
Please place a plus sign inside this box PTO/SB/21 (08-00)
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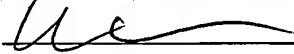
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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/060,905
	Filing Date	January 29, 2002
	First Named Inventor	Stephen Ritland
	Group Art Unit	3732
	Examiner Name	Cary E. O'Connor
Total Number of Pages in This Submission		Attorney Docket Number 101896-246

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below) 1. Statement Under 37 CFR 3.73(b); and 2. Return Postcard.
Remarks		RECEIVED FEB 09 2004 TECHNOLOGY CENTER R3700

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual Name	NUTTER MCCLENNEN & FISH LLP William C. Geary III
Signature	
Date	February 2, 2004

Transmittal	
I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.	
Dated: February 2, 2004	Signature:  (William C. Geary III)



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PTO/SB/82 (06-03)

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REVOCATION OF POWER OF ATTORNEY and APPOINTMENT OF NEW POWER OF ATTORNEY	Application Number	10/060,905
	Filing Date	January 29, 2002
	First Named Inventor	Stephen Ritland
	Art Unit	3732
	Examiner Name	Cary E. O'Connor
	Attorney Docket Number	101896-246

I hereby revoke all previous powers of attorney given in the above-identified application:

☐ A Power of Attorney is submitted herewith.

OR

☒ I hereby appoint the practitioners at Customer Number: 021125☒ Please change the correspondence address for the above-identified application to:☒ The address associated with Customer Number:

021125

OR

☐ Firm or Individual Name
NUTTER MCCLENNEN & FISH LLP
William C. Geary IIIAddress
World Trade Center West
155 Seaport BoulevardCity
BostonCountry
US State
MA Zip
02210-2604Telephone
(617) 439-2766 Fax
(617) 310-9766

I am the:

☐ Applicant/Inventor.☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record

Name
David A. Lane, Jr., Assistant Secretary, DePuy Spine, Inc.Signature
David A. Lane Jr.Date
2/2/04 Telephone
(508) 880-8488

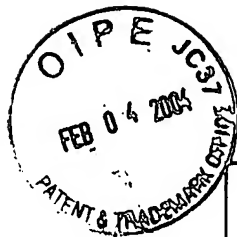
NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ *Total of 1 forms are submitted.

Revocation of Power of Attorney or Authorization of Agent

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: 2-2-04 Signature: (William C. Geary III)



PTO/SB/96 (08-03)
Approved for use through 04/30/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: DePuy Spine, Inc.

Application No./Patent No.: 10/060,905 Filed/Issue Date: January 29, 2002

Entitled: Method And Device For Retractor For Microsurgical Intermuscular Lumbar Arthrodesis

DePuy Spine, Inc., a corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____ %
in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.
[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

2/2/04
Date
(508) 880-8488
Telephone Number

David A. Lane, Jr.
Typed or printed name
David A. Lane, Jr.
Signature
Assistant Secretary
Title

Statement By Assignee to Establish Ownership (37 CFR 3.73(b))

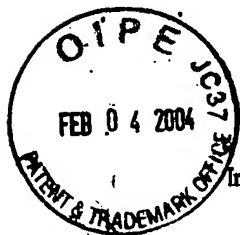
I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

295964.1
Dated: 2-2-04 Signature: *William C. Geary III* (William C. Geary III)

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TECHNOLOGY CENTER R3700



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

RITLAND

Serial No.: 10/060,905

Filed: January 29, 2002

Atty. File No.: 4510-4

For: "RETRACTOR AND METHOD
FOR SPINAL PEDICLE SCREW
PLACEMENT"

) Group Art Unit: 3732
)
)

) Examiner: Cary E. O'Connor
)
)

) RECORDATION OF ASSIGNMENT
)
)

) Express Mail Label: EL975238840US
)
)

) **EL975238840US**
)
)

Mail Stop: Assignment Recordation Services
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313

Dear Sir:

Please record the attached original documents or copy thereof:

1. Name of conveying party(ies):

Stephen Ritland

2. Name and address of receiving party(ies):

DePuy AcroMed, Inc.
325 Paramount Drive
Raynham, Massachusetts 02767
U.S.A.

3. Nature of Conveyance:

<input checked="" type="checkbox"/>	Assignment	<input type="checkbox"/>	Merger
<input type="checkbox"/>	Security Agreement	<input type="checkbox"/>	Change of Name
<input type="checkbox"/>	Other: _____		

Execution Date: September 10, 2003

4. Application number(s) or patent number(s)

If this document is being filed together with a new application, the execution date of the application is:

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TECHNOLOGY CENTER R3700

- A. Patent Application No.(s): 10/060,905
- B. Patent No.(s): None.
5. Name and address of party to whom correspondence concerning documents should be mailed:

Mark L. Yaskarin
Sheridan Ross P.C.
1560 Broadway, Suite 1200
Denver, Colorado 80202-5141

6. Total number of applications and patents involved:

1.

7. Total fee (37 CFR 3.41).....\$40.00.

☒ Enclosed

☒ Any deficiency in payment of recording fee is authorized to be charged to deposit account.

☐ Authorized to be charged to deposit account.

8. Deposit account number: 19-1970

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Total number of pages including cover sheet, attachments and document: 3

Respectfully submitted,

SHERIDAN ROSS P.C.

By: Mark L. Yaskarin

Mark L. Yaskarin
Registration No. 45,246
1560 Broadway, Suite 1200
Denver, Colorado 80202-5141
(303) 863-9700

Date: 11-7-2003
J:45101-4P70-Assignment

ASSIGNMENT

WHEREAS, I, Dr. Stephen Ritland having an office at 1150 N. San Francisco Street, Flagstaff, Arizona 86001, am inventor of a certain new and useful invention entitled "RETRACTOR AND METHOD FOR SPINAL PEDICLE SCREW PLACEMENT", for which an application for Letters Patent of the United States was filed on January 29, 2002 as U.S. Patent Application No. 10/060905, and for which PCT Application No. PCT/US02/02703 was filed on January 29, 2002.

NOW THEREFORE, be it known that I, the said inventor, for and in consideration of certain good and valuable consideration, the sufficiency and receipt of which is hereby acknowledged, at the request of the assignee do, in accordance with and subject to the terms and conditions as set forth in the Product Development Agreement having an Effective Date of April 9, 2002 and executed on June 26, 2002, sell, assign and transfer unto said assignee, DePuy AcroMed, Inc. having an office at 325 Paramount Drive, Raynham, Massachusetts 02767, its successors, legal representatives and assigns, the aforesaid applications for the territory of the United States of America and all appropriate continuation, divisional, continuation-in-part and reissue applications, all patent applications in foreign countries, all applications pursuant to the Patent Cooperation Treaty, and all applications for extension filed or to be filed for the invention, and all Letters Patent, Invention Registrations, Utility Models, Extensions or Reissues and other patent rights, obtained for the invention in the United States or any other country.

The Commissioner of Patents is hereby authorized and requested to issue the Letters Patent in accordance with the terms of this Assignment, to DePuy AcroMed, Inc. its successors, legal representatives and assigns, as the assignee of the entire right, title and interest therein.

IN WITNESS WHEREOF, I have executed this Assignment as of the date indicated hereunder.

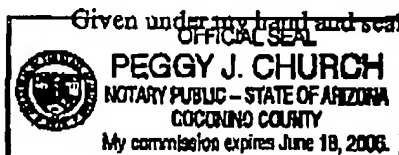
Date: 9.10.3

By: [Signature]

Dr. Stephen Ritland

STATE OF ARIZONA)
) ss.
COUNTY OF COCONINO)

Before me, a Notary Public in and for said County and State, personally appeared Dr. Stephen Ritland, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and considerations therein expressed.



Peggy J. Church
Notary Public

My Commission Expires: June 18, 2006



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

RITLAND

Serial No.: 10/060,905

Filed: January 29, 2002

Atty. File No.: 4510-4

For: "RETRACTOR AND METHOD
FOR SPINAL PEDICLE SCREW
PLACEMENT"

) Group Art Unit: 3732

) Examiner: Cary E. O'Connor

) RECORDATION OF NAME CHANGE

) Express Mail Label: EL975238840US

Mail Stop: Assignment Recordation Services
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313

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Dear Sir:

Please record the attached original documents or copy thereof:

1. Name of conveying party(ies):

DePuy AcroMed, Inc.

2. Name and address of receiving party(ies):

DePuy Spine, Inc.
325 Paramount Drive
Raynham, Massachusetts 02767
U.S.A.

3. Nature of Conveyance:

<input type="checkbox"/>	Assignment	<input type="checkbox"/>	Merger
<input type="checkbox"/>	Security Agreement	<input checked="" type="checkbox"/>	Change of Name
<input type="checkbox"/>	Other: _____		

Effective Date: October 1, 2003

4. Application number(s) or patent number(s)

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s): 10/060,905

B. Patent No.(s): None.

5. Name and address of party to whom correspondence concerning documents should be mailed:

Mark L. Yaskanin
Sheridan Ross P.C.
1560 Broadway, Suite 1200
Denver, Colorado 80202-5141

6. Total number of applications and patents involved:
1.

7. Total fee (37 CFR 3.41).....\$40.00.

☒ Enclosed

☒ Any deficiency in payment of recording fee is authorized to be charged to deposit account.

☐ Authorized to be charged to deposit account.

8. Deposit account number: 19-1970

9. Statement and signature.


To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Total number of pages including cover sheet, attachments and document 5

Respectfully submitted,

SHERIDAN ROSS P.C.

By.


Mark L. Yaskanin
Registration No. 45,246
1560 Broadway, Suite 1200
Denver, Colorado 80202-5141
(303) 863-9700

Date: 11-7-2003
J:\4510\4PTO-Name Change.wpd



DATE	DOCUMENT ID	DESCRIPTION	FILING	EXPENSE	PENALTY	CERT	COPY
10/12/2003	200327600246	DOMESTIC/AMENDMENT TO ARTICLES (AND)	00.00	100.00	.00	20.00	.00

Receipt

This is not a bill. Please do not remit payment.

C.T. CORPORATION SYSTEM
17 S. HIGH STREET
COLUMBUS, OH 43215

STATE OF OHIO

Ohio Secretary of State, J. Kenneth Blackwell

614043

It is hereby certified that the Secretary of State of Ohio has custody of the business records for
DEPUY SPINE, INC.

and, that said business records show the filing and recording of:

Document(s)
DOMESTIC/AMENDMENT TO ARTICLES

Document No(s):
200327600246



United States of America
State of Ohio
Office of the Secretary of State

Witness my hand and the seal of
the Secretary of State at Columbus,
Ohio this 1st day of October, A.D.
2003.

J. Kenneth Blackwell
Ohio Secretary of State

PAGE:006 R=96%

All of the following information must be completed if an amended box is checked.
If an amendment box is checked, complete the areas that apply.

FIRST: The name of the corporation is: DePuy Spine, Inc.

SECOND: The place in the State of Ohio where its principal office is located is in the City of:
(city, village or township) (county)

THIRD: The purposes of the corporation are as follows:

FOURTH: The number of shares which the corporation is authorized to have outstanding is: _____
(Does not apply to box (2))

Other: This amendment shall become effective on October 1, 2003.

REQUIRED
Must be authenticated
(signed) by an authorized
representative
(See Instructions)

Catherine W. Smith
Authorized Representative
C. W. Smith, Vice President, Law

9/19/03
Date

Authorized Representative

Date

Authorized Representative

Date

FEB-02-2004 10:58 FROM:DEPUY ACROMED LEGAL 508 828 3789
01/18/2004 11:10 FAX 3038630223 SHERIDAN ROSS

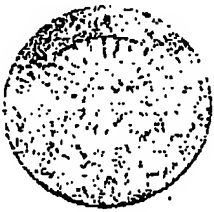
TO: 617 310 9000 P.9/16

614083

UNITED STATES OF AMERICA
STATE OF OHIO
OFFICE OF THE SECRETARY OF STATE

I, I. Kenneth Blackwell, Secretary of State of the State of Ohio, do hereby certify that the foregoing is a true and correct copy, consisting of 3 pages, as taken from the original received now in my official custody as Secretary of State.

WITNESS my hand and official seal at Columbus, Ohio, this 29th day of October, A.D. 2003.

 I. Kenneth Blackwell
J. KENNETH BLACKWELL
Secretary of State

By: C. M. Ross

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